

TRANSMITTAL
(Only for new nonprovisional applications under 37 CFR 1.53(b))

213114-5-44

Title

DETECTION OF ISLANDED BEHAVIOR AND ANTI-ISLANDING PROTECTION OF A GENERATOR IN GRID-CONNECTED MODE

Assignee Name: CAPSTONE TURBINE CORPORATION

Assignee Address: 21211 Nordhoff Street, Chatsworth, CA 91311

APPLICATION ELEMENTS
See MPEP chapter 600 concerning utility patent application contents

Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

- To: ■ Fee Transmittal Form (e.g. PTO/SB/17)**
(Submit an original and a duplicate for fee processing)
- 2. ■ Specification** **Total Sheets** **44**
- 3. ■ Formal Drawing(s)** **Total Sheets** **14**
(35 U.S.C. 113)
- 4. ■ Oath or Declaration** **Total Pages** **2**
- a. ■ Newly executed (original or copy)
- b. □ Copy from a prior application (37 C.F.R. §1.63(d))
(for continuation / divisional w/ box 17 completed)
- i. □ **DELETION OF INVENTOR(S)**
Signed statement attached deleting inventor(s) named in
the prior application, see 37 C.F.R. §1.63(d)(2) and
1.33(b).
5. □ CD-ROM or CD-R in duplicate, large table or Computer
Program (*Appendix*)
6. □ Nucleotide and/or Amino Acid Sequence Submission
(*if applicable, all necessary*)
- a. □ Computer Readable Form (CRF)
- b. Specification or Sequence Listing on:
- i. □ CD-ROM or CD-R (2 copies); or
- ii. □ Paper
- c. □ Statements verifying identity of above copies

7. ☐ Assignment Papers (cover sheet & document(s))
8. ☒ Application Data Sheet. See 37 CFR 1.76
9. ☐ 37 C.F.R. §3.73(b) Statement ☐ Power of Attorney
(when there is an assignee)
10. ☐ English Translation Document (if applicable)
11. ☒ Information Disclosure Statement (IDS)/PTO-1449 ☒ Copies of IDS Citations (2)
12. ☐ Preliminary Amendment
13. ☒ White Advance Serial No. Postcard
14. ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)
15. ☒ Applicant claims small entity status.
See 37 CFR 1.27
16. ☐ Other:

17. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application no.:

Prior application information: **Examiner:**

Group Art Unit:

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4b, is considered a part of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

18. Amend the specification by inserting before the first line the sentence:


- ☐ This application is a ☐ Continuation ☐ Division ☐ Continuation-in-part (CIP)
of application Serial No. Filed on
- ☐ Which was published in English
- ☐ Which was not published in English
- ☒ This application claims priority of provisional application Serial No. 60/240,153

19. CORRESPONDENCE ADDRESS



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Docket No.

213114US-22

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

INVENTOR(S) Simon R. WALL

SERIAL NO: NEW APPLICATION

FILING DATE: HEREWITH

FOR: DETECTION OF ISLANDED BEHAVIOR AND ANTI-ISLANDING PROTECTION OF A GENERATOR
IN GRID-CONNECTED MODEjc971 U.S. PTO
09/975148
10/12/01

FEE TRANSMITTAL

ASSISTANT COMMISSIONER FOR PATENTS
WASHINGTON, D.C. 20231

FOR	NUMBER FILED	NUMBER EXTRA	RATE	CALCULATIONS
TOTAL CLAIMS	84 - 20 =	64	× \$18 =	\$1,152.00
INDEPENDENT CLAIMS	12 - 3 =	9	× \$84 =	\$756.00
<input type="checkbox"/> MULTIPLE DEPENDENT CLAIMS (If applicable)			+ \$280 =	\$0.00
<input type="checkbox"/> LATE FILING OF DECLARATION			+ \$130 =	\$0.00
BASIC FEE				\$740.00
TOTAL OF ABOVE CALCULATIONS				\$2,648.00
<input checked="" type="checkbox"/> REDUCTION BY 50% FOR FILING BY SMALL ENTITY				\$-1,324.00
<input type="checkbox"/> FILING IN NON-ENGLISH LANGUAGE			+ \$130 =	\$0.00
<input type="checkbox"/> RECORDATION OF ASSIGNMENT			+ \$40 =	\$0.00
TOTAL				\$1,324.00

- ☐ Please charge Deposit Account No. 15-0030 in the amount of _____ A duplicate copy of this sheet is enclosed.
- ☒ A check in the amount of \$1,324.00 to cover the filing fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge any additional fees which may be required for the papers being filed herewith and for which no check is enclosed herewith, or credit any overpayment to Deposit Account No. 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully Submitted,

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PATENT APPLICATION SERIAL NO. _____

U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICE
FEE RECORD SHEET

10/15/2001 WABDELRI 0000003E 09075410

01 FC:201	570.00	CF
02 FC:202	370.00	CF
03 FC:203	570.00	CF

PTO-1556

(5/87)